

Message Text

UNCLASSIFIED POSS DUPE

PAGE 01 PORT O 02173 102022Z

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ACTION EB-11

INFO OCT-01 ARA-16 ISO-00 COME-00 SEC-03 CIAE-00 INR-11

NSAE-00 RSC-01 DRC-01 /044 W
----- 092203

R 102000Z SEP 74

FM AMEMBASSY PORT OF SPAIN
TO SECSTATE WASHDC 6861

UNCLAS PORT OF SPAIN 2173

E.O. 11652: N/A

TAGS: EFIN, TD

SUBJ: REQUEST FOR INFORMATION ON INVESTMENT FUNDS
FOR SECURITIES AND EXCHANGE COMMISSION

1. EMBASSY HAS BEEN APPROACHED BY RESPONSIBLE TRINIDADIAN
SEEKING INFORMATION ON TWO INVESTMENT COMPANIES OPERATING
OUT OF (BUT NOT NECESSARILY REGISTER IN) THE UNITED
STATES. WOULD APPRECIATE WHATEVER INFORMATION S.E.C. MAY
HAVE REGARDING BONA FIDES OF THESE COMPANIES, AND IN THE
CASE OF ONE BELIEVED DEFUNCT, ANY INFORMATION ABOUT SHARE-
HOLDERS' SUITS OR OTHER ACTION TAKEN AGAINST FUND.

2. FUND BELIEVED DEFUNCT IS: MUTUAL FUND SECURITIES LTD.,
OPERATED BY A MAX HILL OUT OF P.O. BOX 845 AND P.O. BOX 158,
BOTH IN CLEARWATER, FLORIDA.

3. SECOND FIRM IS CALLED FIRST AMERICAN INVESTMENT AND
MANAGEMENT COMPANY, STREET ADDRESS UNKNOWN, BUT WITH AN
OFFICE IN MIAMI, FLORIDA.

4. IF S.E.C. DOES HAVE MATERIALS AVAILABLE TO RESPOND TO
THIS REQUEST, PLEASE SEND BY AIR, BUT EMBASSY
WOULD APPRECIATE BRIEF POSITIVE OR NEGATIVE RESPONSE
BY TELEGRAM.
MILLER

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Message Attributes

Automatic Decaptioning: X
Capture Date: 01 JAN 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: MUTUAL FUNDS, BUSINESS REPUTATION
Control Number: n/a
Copy: SINGLE
Draft Date: 10 SEP 1974
Decaption Date: 01 JAN 1960
Decaption Note:
Disposition Action: n/a
Disposition Approved on Date:
Disposition Authority: n/a
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01 JAN 1960
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1974PORTO02173
Document Source: CORE
Document Unique ID: 00
Drafter: n/a
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Film Number: D740252-0345
From: PORT OF SPAIN
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1974/newtext/t19740959/aaaabyfl.tel
Line Count: 51
Locator: TEXT ON-LINE, ON MICROFILM
Office: ACTION EB
Original Classification: UNCLASSIFIED
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 1
Previous Channel Indicators:
Previous Classification: n/a
Previous Handling Restrictions: n/a
Reference: n/a
Review Action: RELEASED, APPROVED
Review Authority: smithrj
Review Comment: n/a
Review Content Flags:
Review Date: 02 APR 2002
Review Event:
Review Exemptions: n/a
Review History: RELEASED <02 APR 2002 by maustmc>; APPROVED <31 JAN 2003 by smithrj>
Review Markings:

Declassified/Released
US Department of State
EO Systematic Review
30 JUN 2005

Review Media Identifier:
Review Referrals: n/a
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
Secure: OPEN
Status: NATIVE
Subject: REQUEST FOR INFORMATION ON INVESTMENT FUNDS FOR SECURITIES AND EXCHANGE COMMISSION
TAGS: EFIN, TD, US, MUTUAL FUND SECURITIES LTD, FIRST AMER INVESTMENT & MANAGEMENT
To: STATE
Type: TE
Markings: Declassified/Released US Department of State EO Systematic Review 30 JUN 2005